Exhibit 4

EXHIBIT 4:
PLAINTIFF'S CASH STOCK SALES IN WHICH THE SALE PRICE WAS
NEGATIVELY IMPACTED BY DEFENDANTS' SPOOFING IN THE FINAL HOUR OF
A TRADING DAY (i.e., between 3pm – 4pm)

| Transaction Date | Shares Sold | Sale Price | Pricing Date(s) | Closing Price on Pricing Date | # of Spoofing Episodes | Average Return to Spoofing Episode | Defendant(s) |
|------------------|----------------|---------------|--------------------|-------------------------------------|------------------------------|---|--------------|
| 10/12/20 | 30,637 | \$0.82 | 10/12/20** | \$1.12 | 28 | -3.11% | GTS |
| | | | 10/9/20* | \$0.83 | 1 | -2.44% | SECURITIES |
| | | | 10/8/20** | \$0.79 | 2 | -3.32% | LLC; |
| | | | 10/7/20 | \$0.76 | | | CANACCORD |
| | | | 10/6/20* | \$0.77 | 1 | -2.84% | GENUITY |
| | | | 10/5/20 | \$0.82 | | | LLC; |
| | | | 10/2/20 | \$0.75 | | | CITADEL |
| | | | 10/1/20** | \$0.73 | 2 | -2.69% | SECURITIES |
| | | | 9/30/20* | \$0.77 | 1 | -3.34% | LLC; |
| | | | 9/29/20* | \$0.81 | 1 | -9.88% | VIRTU |
| | | | | | | | AMERICAS |
| | | | | (Average = | | | LLC; |
| | | | | \$0.82) | | | LIME |
| | | | | , | | | TRADING |
| | | | | | | | CORP. |
| 10/12/20 | 147,059 | \$0.82 | 10/12/20** | \$1.12 | 28 | -3.11% | GTS |
| | | | 10/9/20* | \$0.83 | 1 | -2.44% | SECURITIES |
| | | | 10/8/20** | \$0.79 | 2 | -3.32% | LLC; |
| | | | 10/7/20 | \$0.76 | | | CANACCORD |
| | | | 10/6/20* | \$0.77 | 1 | -2.84% | GENUITY |
| | | | 10/5/20 | \$0.82 | | | LLC; |
| | | | 10/2/20 | \$0.75 | | | CITADEL |
| | | | 10/1/20** | \$0.73 | 2 | -2.69% | SECURITIES |
| | | | 9/30/20* | \$0.77 | 1 | -3.34% | LLC; |
| | | | 9/29/20* | \$0.81 | 1 | -9.88% | VIRTU |
| | | | | | | | AMERICAS |
| | | | | (Average = | | | LLC; |
| | | | | \$0.82) | | | LIME |
| | | | | | | | TRADING |
| | | | | | | | CORP. |
| 10/12/20 | 12,255 | \$0.82 | 10/12/20** | \$1.12 | 28 | -3.11% | GTS |
| | | | 10/9/20* | \$0.83 | 1 | -2.44% | SECURITIES |
| | | | 10/8/20** | \$0.79 | 2 | -3.32% | LLC; |
| | | | 10/7/20 | \$0.76 | | | CANACCORD |
| | | | 10/6/20* | \$0.77 | 1 | -2.84% | GENUITY |
| | | | 10/5/20 | \$0.82 | | | LLC; |
| | | | 10/2/20 | \$0.75 | | | |

| Transaction Date | Shares Sold | Sale Price | Pricing Date(s) | Closing Price on Pricing Date | # of Spoofing Episodes | Average Return to Spoofing Episode | Defendant(s) |
|------------------|----------------|---------------|---|--|------------------------------|--|--|
| | | | 10/1/20** 9/30/20* 9/29/20* | \$0.73 \$0.77 \$0.81 (Average = \$0.82) | 2 1 1 | -2.69% -3.34% -9.88% | CITADEL SECURITIES LLC; VIRTU AMERICAS LLC; LIME TRADING |
| 10/12/20 | 370,000 | \$0.82 | 10/12/20** 10/9/20* 10/8/20** 10/7/20 10/6/20* 10/5/20 10/2/20 10/1/20** 9/30/20* 9/29/20* | \$1.12 \$0.83 \$0.79 \$0.76 \$0.77 \$0.82 \$0.75 \$0.73 \$0.77 \$0.81 (Average = \$0.82) | 28 1 2 1 | -3.11% -2.44% -3.32% -2.84% -2.69% -3.34% -9.88% | CORP. GTS SECURITIES LLC; CANACCORD GENUITY LLC; CITADEL SECURITIES LLC; VIRTU AMERICAS LLC; LIME TRADING CORP. |
| 10/12/20 | 500,000 | \$0.82 | 10/12/20** 10/9/20* 10/8/20** 10/7/20 10/6/20* 10/5/20 10/2/20 10/1/20** 9/30/20* 9/29/20* | \$1.12 \$0.83 \$0.79 \$0.76 \$0.77 \$0.82 \$0.75 \$0.73 \$0.77 \$0.81 (Average = \$0.82) | 28 1 2 1 | -3.11% -2.44% -3.32% -2.84% -2.69% -3.34% -9.88% | GTS SECURITIES LLC; CANACCORD GENUITY LLC; CITADEL SECURITIES LLC; VIRTU AMERICAS LLC; LIME TRADING CORP. |
| 10/12/20 | 140,845 | \$0.82 | 10/12/20** 10/9/20* 10/8/20** | \$1.12 \$0.83 \$0.79 | 28 1 2 | -3.11% -2.44% -3.32% | GTS SECURITIES LLC; |

| Transaction Date | Shares Sold | Sale Price | Pricing Date(s) | Closing Price on Pricing Date | # of Spoofing Episodes | Average Return to Spoofing Episode | Defendant(s) |
|------------------|----------------|---------------|---|--|------------------------------|--|---|
| | | | 10/7/20 10/6/20* 10/5/20 10/2/20 10/1/20** 9/30/20* 9/29/20* | \$0.76 \$0.77 \$0.82 \$0.75 \$0.73 \$0.77 \$0.81 (Average = \$0.82) | 1 2 1 | -2.84% -2.69% -3.34% -9.88% | CANACCORD GENUITY LLC; CITADEL SECURITIES LLC; VIRTU AMERICAS LLC; LIME TRADING |
| 10/12/20 | 612,745 | \$0.82 | 10/12/20** 10/9/20* 10/8/20** 10/7/20 10/6/20* 10/5/20 10/2/20 10/1/20** 9/30/20* 9/29/20* | \$1.12 \$0.83 \$0.79 \$0.76 \$0.77 \$0.82 \$0.75 \$0.73 \$0.77 \$0.81 (Average = \$0.82) | 28 1 2 1 | -3.11% -2.44% -3.32% -2.84% -2.69% -3.34% -9.88% | GTS SECURITIES LLC; CANACCORD GENUITY LLC; CITADEL SECURITIES LLC; VIRTU AMERICAS LLC; LIME TRADING CORP. |
| 10/12/20 | 183,824 | \$0.82 | 10/12/20** 10/9/20* 10/8/20** 10/7/20 10/6/20* 10/5/20 10/2/20 10/1/20** 9/30/20* 9/29/20* | \$1.12 \$0.83 \$0.79 \$0.76 \$0.77 \$0.82 \$0.75 \$0.73 \$0.77 \$0.81 (Average = \$0.82) | 28 1 2 1 | -3.11% -2.44% -3.32% -2.84% -2.69% -3.34% -9.88% | GTS SECURITIES LLC; CANACCORD GENUITY LLC; CITADEL SECURITIES LLC; VIRTU AMERICAS LLC; LIME TRADING CORP. |

| Transaction Date | Shares Sold | Sale Price | Pricing Date(s) | Closing Price on Pricing Date | # of Spoofing Episodes | Average Return to Spoofing Episode | Defendant(s) |
|------------------|----------------|---------------|--------------------|-------------------------------------|------------------------------|---|------------------|
| 10/12/20 | 122,549 | \$0.82 | 10/12/20** | \$1.12 | 28 | -3.11% | GTS |
| | | | 10/9/20* | \$0.83 | 1 | -2.44% | SECURITIES |
| | | | 10/8/20** | \$0.79 | 2 | -3.32% | LLC; |
| | | | 10/7/20 | \$0.76 | | | CANACCORD |
| | | | 10/6/20* | \$0.77 | 1 | -2.84% | GENUITY |
| | | | 10/5/20 | \$0.82 | | | LLC; |
| | | | 10/2/20 | \$0.75 | | 2 (00) | CITADEL |
| | | | 10/1/20** | \$0.73 | 2 | -2.69% | SECURITIES |
| | | | 9/30/20* | \$0.77 | 1 | -3.34% | LLC; |
| | | | 9/29/20* | \$0.81 | 1 | -9.88% | VIRTU |
| | | | | | | | AMERICAS |
| | | | | (Average = | | | LLC; |
| | | | | \$0.82) | | | LIME |
| | | | | | | | TRADING CORP. |
| 10/12/20 | 50,000 | \$0.82 | 10/12/20** | \$1.12 | 28 | -3.11% | GTS |
| 10/12/20 | 20,000 | Ψ0.02 | 10/9/20* | \$0.83 | 1 | -2.44% | SECURITIES |
| | | | 10/8/20** | \$0.79 | 2 | -3.32% | LLC; |
| | | | 10/7/20 | \$0.76 | - | 0.0275 | CANACCORD |
| | | | 10/6/20* | \$0.77 | 1 | -2.84% | GENUITY |
| | | | 10/5/20 | \$0.82 | | | LLC; |
| | | | 10/2/20 | \$0.75 | | | CITADEL |
| | | | 10/1/20** | \$0.73 | 2 | -2.69% | SECURITIES |
| | | | 9/30/20* | \$0.77 | 1 | -3.34% | LLC; |
| | | | 9/29/20* | \$0.81 | 1 | -9.88% | VIRTU |
| | | | | | | | AMERICAS |
| | | | | (Average = | | | LLC; |
| | | | | \$0.82) | | | LIME |
| | | | | | | | TRADING |
| | | | | | | | CORP. |
| 10/12/20 | 612,745 | \$0.82 | 10/12/20** | \$1.12 | 28 | -3.11% | GTS |
| | | | 10/9/20* | \$0.83 | 1 | -2.44% | SECURITIES |
| | | | 10/8/20** | \$0.79 | 2 | -3.32% | LLC; |
| | | | 10/7/20 | \$0.76 | | | CANACCORD |
| | | | 10/6/20* | \$0.77 | 1 | -2.84% | GENUITY |
| | | | 10/5/20 | \$0.82 | | | LLC; |
| | | | 10/2/20 | \$0.75 | | • 600.1 | CITADEL |
| | | | 10/1/20** | \$0.73 | 2 | -2.69% | SECURITIES |
| | | | 9/30/20* | \$0.77 | 1 | -3.34% | LLC; |
| | | | 9/29/20* | \$0.81 | 1 | -9.88% | VIRTU |
| | | | | | | | AMERICAS |
| | | | | | | | LLC; |

| Transaction Date | Shares Sold | Sale Price | Pricing Date(s) | Closing Price on Pricing Date | # of Spoofing Episodes | Average Return to Spoofing Episode | Defendant(s) |
|------------------|----------------|---------------|---|--|------------------------------|--|---|
| | | | | (Average = \$0.82) | | | LIME TRADING CORP. |
| 10/12/20 | 42,892 | \$0.82 | 10/12/20** 10/9/20* 10/8/20** 10/7/20 10/6/20* 10/5/20 10/2/20 10/1/20** 9/30/20* 9/29/20* | \$1.12 \$0.83 \$0.79 \$0.76 \$0.77 \$0.82 \$0.75 \$0.73 \$0.77 \$0.81 (Average = \$0.82) | 28 1 2 1 | -3.11% -2.44% -3.32% -2.84% -2.69% -3.34% -9.88% | GTS SECURITIES LLC; CANACCORD GENUITY LLC; CITADEL SECURITIES LLC; VIRTU AMERICAS LLC; LIME |
| 10/12/20 | 98,039 | \$0.82 | 10/12/20** 10/9/20* 10/8/20** 10/7/20 10/6/20* 10/5/20 10/2/20 10/1/20** 9/30/20* 9/29/20* | \$1.12 \$0.83 \$0.79 \$0.76 \$0.77 \$0.82 \$0.75 \$0.73 \$0.77 \$0.81 (Average = \$0.82) | 28 1 2 1 | -3.11% -2.44% -3.32% -2.84% -2.69% -3.34% -9.88% | TRADING CORP. GTS SECURITIES LLC; CANACCORD GENUITY LLC; CITADEL SECURITIES LLC; VIRTU AMERICAS LLC; LIME TRADING CORP. |
| 10/12/20 | 245,098 | \$0.82 | 10/12/20** 10/9/20* 10/8/20** 10/7/20 10/6/20* 10/5/20 10/2/20 10/1/20** 9/30/20* | \$1.12 \$0.83 \$0.79 \$0.76 \$0.77 \$0.82 \$0.75 \$0.73 \$0.77 | 28 1 2 1 | -3.11% -2.44% -3.32% -2.84% -2.69% -3.34% | GTS SECURITIES LLC; CANACCORD GENUITY LLC; CITADEL SECURITIES LLC; |

| Transaction Date | Shares Sold | Sale Price | Pricing Date(s) | Closing Price on Pricing Date | # of Spoofing Episodes | Average Return to Spoofing Episode | Defendant(s) |
|------------------|----------------|---------------|---|--|------------------------------|--|--|
| | | | 9/29/20* | \$0.81 (Average = \$0.82) | 1 | -9.88% | VIRTU AMERICAS LLC; LIME |
| | | | | | | | TRADING CORP. |
| 10/12/20 | 61,275 | \$0.82 | 10/12/20** 10/9/20* 10/8/20** 10/7/20 10/6/20* | \$1.12 \$0.83 \$0.79 \$0.76 \$0.77 | 28 1 2 | -3.11% -2.44% -3.32% -2.84% | GTS SECURITIES LLC; CANACCORD GENUITY |
| | | | 10/5/20 10/2/20 10/1/20** 9/30/20* | \$0.82 \$0.75 \$0.73 \$0.77 | 2 | -2.69% -3.34% | LLC; CITADEL SECURITIES LLC; |
| | | | 9/29/20* | \$0.81 (Average = \$0.82) | 1 | -9.88% | VIRTU AMERICAS LLC; LIME TRADING CORP. |
| 10/12/20 | 122,549 | \$0.82 | 10/12/20** 10/9/20* 10/8/20** 10/7/20 10/6/20* 10/5/20 10/2/20 10/1/20** 9/30/20* | \$1.12 \$0.83 \$0.79 \$0.76 \$0.77 \$0.82 \$0.75 \$0.73 | 28 1 2 1 | -3.11% -2.44% -3.32% -2.84% -2.69% -3.34% | GTS SECURITIES LLC; CANACCORD GENUITY LLC; CITADEL SECURITIES LLC; |
| | | | 9/29/20* | \$0.81 (Average = \$0.82) | 1 | -9.88% | VIRTU AMERICAS LLC; LIME TRADING CORP. |
| 10/12/20 | 122,549 | \$0.82 | 10/12/20** 10/9/20* 10/8/20** 10/7/20 10/6/20* 10/5/20 | \$1.12 \$0.83 \$0.79 \$0.76 \$0.77 \$0.82 | 28 1 2 | -3.11% -2.44% -3.32% -2.84% | GTS SECURITIES LLC; CANACCORD GENUITY LLC; |

| Transaction Date | Shares Sold | Sale Price | Pricing Date(s) | Closing Price on Pricing Date | # of Spoofing Episodes | Average Return to Spoofing Episode | Defendant(s) |
|------------------|----------------|---------------|---|--|------------------------------|--|---|
| | | | 10/2/20 10/1/20** 9/30/20* 9/29/20* | \$0.75 \$0.73 \$0.77 \$0.81 (Average = \$0.82) | 2 1 1 | -2.69% -3.34% -9.88% | CITADEL SECURITIES LLC; VIRTU AMERICAS LLC; LIME TRADING CORP. |
| 10/12/20 | 306,373 | \$0.82 | 10/12/20** 10/9/20* 10/8/20** 10/7/20 10/6/20* 10/5/20 10/2/20 10/1/20** 9/30/20* 9/29/20* | \$1.12 \$0.83 \$0.79 \$0.76 \$0.77 \$0.82 \$0.75 \$0.73 \$0.77 \$0.81 (Average = \$0.82) | 28 1 2 1 | -3.11% -2.44% -3.32% -2.84% -2.69% -3.34% -9.88% | GTS SECURITIES LLC; CANACCORD GENUITY LLC; CITADEL SECURITIES LLC; VIRTU AMERICAS LLC; LIME TRADING CORP. |
| 10/12/20 | 30,637 | \$0.82 | 10/12/20** 10/9/20* 10/8/20** 10/7/20 10/6/20* 10/5/20 10/2/20 10/1/20** 9/30/20* 9/29/20* | \$1.12 \$0.83 \$0.79 \$0.76 \$0.77 \$0.82 \$0.75 \$0.73 \$0.77 \$0.81 (Average = \$0.82) | 28 1 2 1 | -3.11% -2.44% -3.32% -2.84% -2.69% -3.34% -9.88% | GTS SECURITIES LLC; CANACCORD GENUITY LLC; CITADEL SECURITIES LLC; VIRTU AMERICAS LLC; LIME TRADING CORP. |
| 10/12/20 | 1,225,490 | \$0.82 | 10/12/20** 10/9/20* 10/8/20** | \$1.12 \$0.83 \$0.79 | 28 1 2 | -3.11% -2.44% -3.32% | GTS SECURITIES LLC; |

| Transaction Date | Shares Sold | Sale Price | Pricing Date(s) | Closing Price on Pricing Date | # of Spoofing Episodes | Average Return to Spoofing Episode | Defendant(s) |
|------------------|----------------|---------------|---|--|------------------------------|--|--|
| | | | 10/7/20 10/6/20* 10/5/20 10/2/20 10/1/20** 9/30/20* 9/29/20* | \$0.76 \$0.77 \$0.82 \$0.75 \$0.73 \$0.77 \$0.81 (Average = \$0.82) | 1 2 1 1 | -2.84% -2.69% -3.34% -9.88% | CANACCORD GENUITY LLC; CITADEL SECURITIES LLC; VIRTU AMERICAS LLC; LIME TRADING |
| 10/12/20 | 61,275 | \$0.82 | 10/12/20** 10/9/20* 10/8/20** 10/7/20 10/6/20* 10/5/20 10/2/20 10/1/20** 9/30/20* 9/29/20* | \$1.12 \$0.83 \$0.79 \$0.76 \$0.77 \$0.82 \$0.75 \$0.73 \$0.77 \$0.81 (Average = \$0.82) | 28 1 2 1 | -3.11% -2.44% -3.32% -2.84% -2.69% -3.34% -9.88% | CORP. GTS SECURITIES LLC; CANACCORD GENUITY LLC; CITADEL SECURITIES LLC; VIRTU AMERICAS LLC; LIME TRADING CORP. |
| 10/12/20 | 122,549 | \$0.82 | 10/12/20** 10/9/20* 10/8/20** 10/7/20 10/6/20* 10/5/20 10/2/20 10/1/20** 9/30/20* 9/29/20* | \$1.12 \$0.83 \$0.79 \$0.76 \$0.77 \$0.82 \$0.75 \$0.73 \$0.77 \$0.81 (Average = \$0.82) | 28 1 2 1 | -3.11% -2.44% -3.32% -2.84% -2.69% -3.34% -9.88% | GTS SECURITIES LLC; CANACCORD GENUITY LLC; CITADEL SECURITIES LLC; VIRTU AMERICAS LLC; LIME TRADING CORP. |

| Transaction Date | Shares Sold | Sale Price | Pricing Date(s) | Closing Price on Pricing Date | # of Spoofing Episodes | Average Return to Spoofing Episode | Defendant(s) |
|------------------|----------------|---------------|---|--|------------------------------|--|---|
| 10/12/20 | 98,039 | \$0.82 | 10/12/20** 10/9/20* 10/8/20** 10/7/20 10/6/20* 10/5/20 10/2/20 10/1/20** 9/30/20* 9/29/20* | \$1.12 \$0.83 \$0.79 \$0.76 \$0.77 \$0.82 \$0.75 \$0.73 \$0.77 \$0.81 (Average = \$0.82) | 28 1 2 1 | -3.11% -2.44% -3.32% -2.84% -2.69% -3.34% -9.88% | GTS SECURITIES LLC; CANACCORD GENUITY LLC; CITADEL SECURITIES LLC; VIRTU AMERICAS LLC; LIME TRADING |
| 10/12/20 | 245,098 | \$0.82 | 10/12/20** 10/9/20* 10/8/20** 10/7/20 10/6/20* 10/5/20 10/2/20 10/1/20** 9/30/20* 9/29/20* | \$1.12 \$0.83 \$0.79 \$0.76 \$0.77 \$0.82 \$0.75 \$0.73 \$0.77 \$0.81 (Average = \$0.82) | 28 1 2 1 | -3.11% -2.44% -3.32% -2.84% -2.69% -3.34% -9.88% | GTS SECURITIES LLC; CANACCORD GENUITY LLC; CITADEL SECURITIES LLC; VIRTU AMERICAS LLC; LIME TRADING CORP. |
| 10/12/20 | 275,000 | \$0.82 | 10/12/20** 10/9/20* 10/8/20** 10/7/20 10/6/20* 10/5/20 10/2/20 10/1/20** 9/30/20* 9/29/20* | \$1.12 \$0.83 \$0.79 \$0.76 \$0.77 \$0.82 \$0.75 \$0.73 \$0.77 \$0.81 | 28 1 2 1 | -3.11% -2.44% -3.32% -2.84% -2.69% -3.34% -9.88% | GTS SECURITIES LLC; CANACCORD GENUITY LLC; CITADEL SECURITIES LLC; VIRTU AMERICAS LLC; |

| Transaction Date | Shares Sold | Sale Price | Pricing Date(s) | Closing Price on Pricing Date | # of Spoofing Episodes | Average Return to Spoofing Episode | Defendant(s) |
|------------------|----------------|---------------|---|--|------------------------------|--|--|
| | | | | (Average = \$0.82) | | | LIME TRADING CORP. |
| 10/12/20 | 150,000 | \$0.82 | 10/12/20** 10/9/20* 10/8/20** 10/7/20 10/6/20* 10/5/20 10/2/20 10/1/20** 9/30/20* 9/29/20* | \$1.12 \$0.83 \$0.79 \$0.76 \$0.77 \$0.82 \$0.75 \$0.73 \$0.77 \$0.81 (Average = \$0.82) | 28 1 2 1 | -3.11% -2.44% -3.32% -2.84% -2.69% -3.34% -9.88% | GTS SECURITIES LLC; CANACCORD GENUITY LLC; CITADEL SECURITIES LLC; VIRTU AMERICAS LLC; LIME |
| 10/12/20 | 61,275 | \$0.82 | 10/12/20** 10/9/20* 10/8/20** 10/7/20 10/6/20* 10/5/20 10/2/20 10/1/20** 9/30/20* 9/29/20* | \$1.12 \$0.83 \$0.79 \$0.76 \$0.77 \$0.82 \$0.75 \$0.73 \$0.77 \$0.81 (Average = \$0.82) | 28 1 2 1 | -3.11% -2.44% -3.32% -2.84% -2.69% -3.34% -9.88% | TRADING CORP. GTS SECURITIES LLC; CANACCORD GENUITY LLC; CITADEL SECURITIES LLC; VIRTU AMERICAS LLC; LIME TRADING CORP. |
| 10/12/20 | 122,549 | \$0.82 | 10/12/20** 10/9/20* 10/8/20** 10/7/20 10/6/20* 10/5/20 10/2/20 10/1/20** 9/30/20* | \$1.12 \$0.83 \$0.79 \$0.76 \$0.77 \$0.82 \$0.75 \$0.73 \$0.77 | 28 1 2 1 | -3.11% -2.44% -3.32% -2.84% -2.69% -3.34% | GTS SECURITIES LLC; CANACCORD GENUITY LLC; CITADEL SECURITIES LLC; |

| Transaction Date | Shares Sold | Sale Price | Pricing Date(s) | Closing Price on Pricing Date | # of Spoofing Episodes | Average Return to Spoofing Episode | Defendant(s) |
|------------------|----------------|---------------|---|--|------------------------------|--|---|
| | | | 9/29/20* | \$0.81 (Average = \$0.82) | 1 | -9.88% | VIRTU AMERICAS LLC; LIME TRADING |
| 10/12/20 | 61,275 | \$0.82 | 10/12/20** 10/9/20* 10/8/20** 10/7/20 10/6/20* 10/5/20 10/2/20 10/1/20** 9/30/20* 9/29/20* | \$1.12 \$0.83 \$0.79 \$0.76 \$0.77 \$0.82 \$0.75 \$0.73 \$0.77 \$0.81 (Average = \$0.82) | 28 1 2 1 | -3.11% -2.44% -3.32% -2.84% -2.69% -3.34% -9.88% | CORP. GTS SECURITIES LLC; CANACCORD GENUITY LLC; CITADEL SECURITIES LLC; VIRTU AMERICAS LLC; LIME TRADING CORP. |
| 10/12/20 | 2,500,000 | \$0.82 | 10/12/20** 10/9/20* 10/8/20** 10/7/20 10/6/20* 10/5/20 10/2/20 10/1/20** 9/30/20* 9/29/20* | \$1.12 \$0.83 \$0.79 \$0.76 \$0.77 \$0.82 \$0.75 \$0.73 \$0.77 \$0.81 (Average = \$0.82) | 28 1 2 1 | -3.11% -2.44% -3.32% -2.84% -2.69% -3.34% -9.88% | GTS SECURITIES LLC; CANACCORD GENUITY LLC; CITADEL SECURITIES LLC; VIRTU AMERICAS LLC; LIME TRADING CORP. |
| 10/12/20 | 612,745 | \$0.82 | 10/12/20** 10/9/20* 10/8/20** 10/7/20 10/6/20* 10/5/20 | \$1.12 \$0.83 \$0.79 \$0.76 \$0.77 \$0.82 | 28 1 2 | -3.11% -2.44% -3.32% -2.84% | GTS SECURITIES LLC; CANACCORD GENUITY LLC; |

| Transaction Date | Shares Sold | Sale Price | Pricing Date(s) | Closing Price on Pricing Date | # of Spoofing Episodes | Average Return to Spoofing Episode | Defendant(s) |
|------------------|----------------|---------------|---|--|------------------------------|--|---|
| | | | 10/2/20 10/1/20** 9/30/20* 9/29/20* | \$0.75 \$0.73 \$0.77 \$0.81 (Average = \$0.82) | 2 1 1 | -2.69% -3.34% -9.88% | CITADEL SECURITIES LLC; VIRTU AMERICAS LLC; LIME TRADING CORP. |
| 10/12/20 | 367,647 | \$0.82 | 10/12/20** 10/9/20* 10/8/20** 10/7/20 10/6/20* 10/5/20 10/2/20 10/1/20** 9/30/20* 9/29/20* | \$1.12 \$0.83 \$0.79 \$0.76 \$0.77 \$0.82 \$0.75 \$0.73 \$0.77 \$0.81 (Average = \$0.82) | 28 1 2 1 | -3.11% -2.44% -3.32% -2.84% -2.69% -3.34% -9.88% | GTS SECURITIES LLC; CANACCORD GENUITY LLC; CITADEL SECURITIES LLC; VIRTU AMERICAS LLC; LIME TRADING CORP. |
| 10/12/20 | 61,275 | \$0.82 | 10/12/20** 10/9/20* 10/8/20** 10/7/20 10/6/20* 10/5/20 10/2/20 10/1/20** 9/30/20* 9/29/20* | \$1.12 \$0.83 \$0.79 \$0.76 \$0.77 \$0.82 \$0.75 \$0.73 \$0.77 \$0.81 (Average = \$0.82) | 28 1 2 1 | -3.11% -2.44% -3.32% -2.84% -2.69% -3.34% -9.88% | GTS SECURITIES LLC; CANACCORD GENUITY LLC; CITADEL SECURITIES LLC; VIRTU AMERICAS LLC; LIME TRADING CORP. |
| 10/12/20 | 183,824 | \$0.82 | 10/12/20** 10/9/20* 10/8/20** | \$1.12 \$0.83 \$0.79 | 28 1 2 | -3.11% -2.44% -3.32% | GTS SECURITIES LLC; |

| Transaction Date | Shares Sold | Sale Price | Pricing Date(s) | Closing Price on Pricing Date | # of Spoofing Episodes | Average Return to Spoofing Episode | Defendant(s) |
|---------------------|----------------|---------------|---|--|------------------------------|--|--|
| | | | 10/7/20 10/6/20* 10/5/20 10/2/20 10/1/20** 9/30/20* 9/29/20* | \$0.76 \$0.77 \$0.82 \$0.75 \$0.73 \$0.77 \$0.81 (Average = \$0.82) | 1 2 1 1 | -2.84% -2.69% -3.34% -9.88% | CANACCORD GENUITY LLC; CITADEL SECURITIES LLC; VIRTU AMERICAS LLC; LIME TRADING |
| 10/12/20 | 75,000 | \$0.82 | 10/12/20** 10/9/20* 10/8/20** 10/7/20 10/6/20* 10/5/20 10/2/20 10/1/20** 9/30/20* 9/29/20* | \$1.12 \$0.83 \$0.79 \$0.76 \$0.77 \$0.82 \$0.75 \$0.73 \$0.77 \$0.81 (Average = \$0.82) | 28 1 2 1 | -3.11% -2.44% -3.32% -2.84% -2.69% -3.34% -9.88% | CORP. GTS SECURITIES LLC; CANACCORD GENUITY LLC; CITADEL SECURITIES LLC; VIRTU AMERICAS LLC; LIME TRADING CORP. |
| 10/12/20 | 136,667 | \$0.82 | 10/12/20** 10/9/20* 10/8/20** 10/7/20 10/6/20* 10/5/20 10/2/20 10/1/20** 9/30/20* 9/29/20* | \$1.12 \$0.83 \$0.79 \$0.76 \$0.77 \$0.82 \$0.75 \$0.73 \$0.77 \$0.81 (Average = \$0.82) | 28 1 2 1 | -3.11% -2.44% -3.32% -2.84% -2.69% -3.34% -9.88% | GTS SECURITIES LLC; CANACCORD GENUITY LLC; CITADEL SECURITIES LLC; VIRTU AMERICAS LLC; LIME TRADING CORP. |

| Transaction Date | Shares Sold | Sale Price | Pricing Date(s) | Closing Price on Pricing Date | # of Spoofing Episodes | Average Return to Spoofing Episode | Defendant(s) |
|------------------|----------------|---------------|---|--|------------------------------|--|--|
| 10/12/20 | 600,000 | \$0.82 | 10/12/20** 10/9/20* 10/8/20** 10/7/20 10/6/20* 10/5/20 10/2/20 10/1/20** 9/30/20* 9/29/20* | \$1.12 \$0.83 \$0.79 \$0.76 \$0.77 \$0.82 \$0.75 \$0.73 \$0.77 \$0.81 (Average = \$0.82) | 28 1 2 1 | -3.11% -2.44% -3.32% -2.84% -2.69% -3.34% -9.88% | GTS SECURITIES LLC; CANACCORD GENUITY LLC; CITADEL SECURITIES LLC; VIRTU AMERICAS LLC; LIME TRADING |
| 10/12/20 | 1,250,000 | \$0.82 | 10/12/20** 10/9/20* 10/8/20** 10/7/20 10/6/20* 10/5/20 10/2/20 10/1/20** 9/30/20* 9/29/20* | \$1.12 \$0.83 \$0.79 \$0.76 \$0.77 \$0.82 \$0.75 \$0.73 \$0.77 \$0.81 (Average = \$0.82) | 28 1 2 1 | -3.11% -2.44% -3.32% -2.84% -2.69% -3.34% -9.88% | CORP. GTS SECURITIES LLC; CANACCORD GENUITY LLC; CITADEL SECURITIES LLC; VIRTU AMERICAS LLC; LIME TRADING CORP. |
| 10/12/20 | 50,000 | \$0.82 | 10/12/20** 10/9/20* 10/8/20** 10/7/20 10/6/20* 10/5/20 10/2/20 10/1/20** 9/30/20* 9/29/20* | \$1.12 \$0.83 \$0.79 \$0.76 \$0.77 \$0.82 \$0.75 \$0.73 \$0.77 \$0.81 | 28 1 2 1 | -3.11% -2.44% -3.32% -2.84% -2.69% -3.34% -9.88% | GTS SECURITIES LLC; CANACCORD GENUITY LLC; CITADEL SECURITIES LLC; VIRTU AMERICAS LLC; |

| Transaction Date | Shares Sold | Sale Price | Pricing Date(s) | Closing Price on Pricing Date | # of Spoofing Episodes | Average Return to Spoofing Episode | Defendant(s) |
|------------------|----------------|---------------|---|--|------------------------------|--|---|
| | | | | (Average = \$0.82) | | | LIME TRADING CORP. |
| 10/12/20 | 30,637 | \$0.82 | 10/12/20** 10/9/20* 10/8/20** 10/7/20 10/6/20* 10/5/20 10/2/20 10/1/20** 9/30/20* 9/29/20* | \$1.12 \$0.83 \$0.79 \$0.76 \$0.77 \$0.82 \$0.75 \$0.73 \$0.77 \$0.81 (Average = \$0.82) | 28 1 2 1 | -3.11% -2.44% -3.32% -2.84% -2.69% -3.34% -9.88% | GTS SECURITIES LLC; CANACCORD GENUITY LLC; CITADEL SECURITIES LLC; VIRTU AMERICAS LLC; LIME TRADING CORP. |
| 10/12/20 | 122,549 | \$0.82 | 10/12/20** 10/9/20* 10/8/20** 10/7/20 10/6/20* 10/5/20 10/2/20 10/1/20** 9/30/20* 9/29/20* | \$1.12 \$0.83 \$0.79 \$0.76 \$0.77 \$0.82 \$0.75 \$0.73 \$0.77 \$0.81 (Average = \$0.82) | 28 1 2 1 | -3.11% -2.44% -3.32% -2.84% -2.69% -3.34% -9.88% | GTS SECURITIES LLC; CANACCORD GENUITY LLC; CITADEL SECURITIES LLC; VIRTU AMERICAS LLC; LIME TRADING CORP. |
| 12/12/21 | 666,667 | \$0.60 | 12/10/21** | \$0.60 | 5 | -3.18% | GTS SECURITIES LLC; CANACCORD GENUITY LLC |
| 12/12/21 | 1,000,000 | \$0.60 | 12/10/21** | \$0.60 | 5 | -3.18% | GTS SECURITIES LLC; |

| Transaction Date | Shares Sold | Sale Price | Pricing Date(s) | Closing Price on Pricing Date | # of Spoofing Episodes | Average Return to Spoofing Episode | Defendant(s) |
|------------------|----------------|---------------|--------------------|-------------------------------------|------------------------------|---|---|
| | | | | | | | CANACCORD GENUITY LLC |
| 12/12/21 | 250,000 | \$0.60 | 12/10/21** | \$0.60 | 5 | -3.18% | GTS SECURITIES LLC; CANACCORD GENUITY LLC |
| Totals | | | | | | | |
| 44 Sales | 14,141,632 | | | | | | |

^{*} Denotes a day on which a Spoofing Episode occurred.

** Denotes a day on which a Spoofing Episode occurred in the final hour of trading.